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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/772,724

Filing Date

February 5, 2004

First Named Inventor

Gothaskar

Art Unit

2874

Examiner Name

-

Attorney Docket Number

SIO-0105

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Response to Missing Parts/
Incomplete Application☐Response to Missing Parts
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

☐After Allowance communication
to Group☐Appeal Communication to Board
of Appeals and Interferences☐Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please
Identify below):

Return receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Wendy W. Koba

Signature

Wendy W. Koba

Date

6/3/04

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Wendy W. Koba

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Wendy W. Koba

Date

6/3/04

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Patent Application

Inventor Prakash Gothoskar

Case: SIO-0105

Serial No. 10/772,724

Group Art Unit 2874

Filing Date February 5, 2004

Title: *Polycrystalline Germanium-Based Waveguide Detector Integrated on a Thin Silicon-on-Insulator (SOI) Platform*

COMMISSIONER OF PATENTS
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SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement is submitted for consideration in the above-identified application.

NO FEE IS REQUIRED.

Respectfully,

By: Wendy W. Koba
Wendy W. Koba, Esq.
Reg. No. 30509
Attorney for Applicant

Date: 6/3/04

Att: - Information Disclosure Statement



Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete If Known

Application Number	10/772,724
Filing Date	2/5/2004
First Named Inventor	Gathoskar
Art Unit	2874
Examiner Name	-
Attorney Docket Number	J10-0105

Sheet

of

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HIROSHI NISHIHARA, MASAMITSU HARUNA, TOSHIYUKI SUHARA, "Optical Integrated Circuits", McGraw-Hill Book Company, 1989 - Printed in the United States of America	
		P.K. TIEN, R. ULRICH, "Theory of Prism-Film Coupler and Thin-Film Light Guides" Journal of the Optical Society of America, Volume 60, Number 10, October 1970	
		L.A. COLDREN, Y.A. AKULOVA, E.M. STRZELECKA, B.J. THIBEAULT, J.C. KO, and D.A. LOUDERBACK, "VCSEL Array Packaging for Free Space Interconnects" Report 1996-1997 for micro project 96-050, Materials and Electrical + Computer Engineering Departments, University of California, Santa Barbara CA 93106	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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